

Notice of References Cited	Application/Control No. 10/730,360		Applicant(s)/Patent Under Reexamination BALABON, SAM	
	Examiner James Alpert		Art Unit 3624	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-2001/0044767	11-2001	MADOFF et al.	705/37
*	B	US-6,408,282	06-2002	Buist, Walter D.	705/36R
*	C	US-6,850,907	02-2005	Lutnick et al.	705/37
*	D	US-2002/0161693	10-2002	Greenwald, Jamie A.	705/37
*	E	US-2003/0216932	11-2003	Foley, Kevin	705/1
*	F	US-2004/0059666	03-2004	Waelbroeck et al.	705/037
*	G	US-2004/0030632	02-2004	Hausman, Andrew	705/37
*	H	US-2004/0177024	09-2004	Bok et al.	705/037
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	JP 2002117232 A	04-2002	Japan	AOKI, JUN	
	O	WO 00/70520	11-2000	WIPO	Norman et al	
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Trade Throughs for Simpletons? (Trends), Traders Magazine, June 1, 2004.
	V	Chapman, Peter, Pooling Fragmented Market Liquidity: Vendor's Buyside and Sellside Approach (Lava Tading markets technology that consolidates every limit order and quote found in Nasdaq montage onto single screen), Trader Magazine, July 2001.
	W	Securities and Exchange Commission (Release No. 34-52418), Self-Regulatory Organizations; International Securities Exchange, Inc.; Order Approving a Proposed Rule Change to Amend the Exchange's Trade-Through and Locked Markets Rules, September 13, 2005.
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.